

FORM PTO-1449  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	SERIAL NO. 10/766,336	ATTORNEY DOCKET NO. SVL920030102US1
	FILING DATE January 28, 2004	GROUP ART UNIT 2173
	APPLICANT(S): Shyh-Mei F. Ho et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,740,783	04/26/1988	Lawrence et al.	340/720	11/04/1985
	A2	6,128,622	10/03/2000	Bach et al.	707/103	03/13/1998
	A3	6,212,550	04/03/2001	Segur	709/206	01/21/1997
	A4	6,250,309	06/26/2001	Krichen et al.	128/899	07/21/1999
	A5	6,446,110	09/03/2002	Lection et al.	709/203	04/05/1999
	A6	6,753,889	06/22/2004	Najmi	715/784	10/30/2000
	A7	6,772,206	08/03/2004	Lowry et al.	709/223	06/11/2001
	A8	6,910,216	06/21/2005	Abileah et al.	719/319	11/07/2000
	A9	7,013,306	03/14/2006	Turba et al.	707/101	12/21/2001
	A10	2001/0014900	08/16/2001	Brauer et al.	707/513	02/13/2001
	A11	2002/0078010	06/20/2002	Ehrman et al.	707/1	05/04/2001
	A12	2002/0100027	07/25/2002	Binding et al.	717/137	07/24/2001
	A13	2002/0133569	09/19/2002	Huang et al.	709/219	03/03/2001
	A14	2002/0161801	10/31/2002	Hind et al.	707/513	04/26/2001
	A14	2002/0174340	11/21/2002	Dick et al.	713/178	05/18/2001
	A15	2002/0178290	11/28/2002	Coulthard et al.	709/246	06/11/2001
	A17	2002/0194227	12/19/2002	Day et al.	707/523	04/18/2001
	A18	2003/0007397	01/09/2003	Kobayashi et al.	365/200	05/10/2002
	A19	2003/0120730	06/26/2003	Kuno et al.	709/204	12/06/2001
	A20	2004/0054970	03/18/2004	Chiang et al.	715/523	09/16/2002
	A21	2004/0064466	04/01/2004	Manikutty et al.	707/100	05/01/2003
	A22	2004/0103370	05/27/2004	Chiang et al.	715/513	11/27/2002
	A23	2004/0237034	11/25/2004	Chiang et al.	715/513	05/19/2003
	A24	2005/0165826	07/28/2005	Ho et al.	707/102	01/28/2004
	A25	2005/0165936	07/28/2005	Haller et al.	709/228	01/26/2004
	A26	2005/0203944	09/15/2005	Dinh et al.	707/102	03/18/2005

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A27	2006/0265478	11/23/2006	Chiang et al.	709/219	07/12/2006
	A28	6,668,354	12/23/2003	Chen et al.	715/517	01/05/1999
	A29	6,589,291	07/08/2003	Boag et al.	715/513	04/08/1999
	A30	2002/0198974	12/26/2002	Shafer	709/223	05/03/2001
	A31	2003/0204460	10/30/2003	Robinson et al.	705/35	03/19/2003
	A32	2002/0035583	03/21/2002	Price et al.	707/513	09/14/2001
	A33	2003/0163585	08/28/2003	Elderon et al.	709/246	05/04/2001
	A34	2004/0221292	11/04/2004	Chiang	719/310	05/04/2001
	A35	6,453,343	09/17/2002	Housel et al.	709/213	01/21/1999
	A36	6,259,447	07/10/2001	Kanetake et al.	715/764	08/05/1998
	A37	6,510,466	01/21/2003	Cox et al.	709/1229	12/14/1998
	A38	2003/0212686	11/13/2003	Chu-Carroll et al.	707/100	01/03/2001
	A39	5,870,549	02/09/1999	Bobo	709/206	10/06/1997
	A40	2005/0166209	07/28/2005	Merrick et al.	719/310	12/03/2004
	A41	2002/0160745	10/31/2002	Wang	455/404	07/19/2001
	A42	6,507,857	01/14/2003	Yalcinalp	715/513	03/10/2000
	A43	6,799,299	09/28/2004	Li et al.	715/513	09/23/1999
	A44	6,728,685	04/27/2004	Ahluwalia	705/26	04/05/1997
	A45	6,952,717	10/04/2005	Monchilovich et al.	709/205	10/20/2000
	A46	5,899,975	05/04/1999	Nielsen	704/270.1	04/03/1997
	A47	2002/0010716	01/24/2002	McCartney et al.	707/517	01/29/2001
	A48	2002/0143820	10/03/2002	Van Eaton et al.	707/517	03/27/2001
	A49	2002/0083099	06/27/2002	Knauss et al.	707/513	12/21/2001
	A50	2002/0049815	04/25/2002	Dattatri	709/206	12/18/2000
	A51	6,980,963	12/27/2005	Hanzek	705/26	03/29/2000
	A52	6,901,430	05/31/2005	Smith	709/206	03/31/2000
	A53	6,971,096	11/29/2001	Ankiredipally et al.	718/101	05/19/2000
	A54	2001/0047311	11/29/2001	Singh	705/26	06/13/2001
	A55	6,606,642	08/12/2003	Ambler et al.	709/200	04/03/2002
	A56	6,889,360	05/03/2005	Ho et al.	715/513	04/19/2000
	A57	6,907,564	06/14/2005	Burchhardt et al.	715/513	06/05/2000
	A58	6,613,098	09/02/2003	Sorge et al.	715/503	06/15/1999
	A59	2004/0024820	02/05/2004	Ozzie et al.	709/205	07/30/2003
	A60	2005/0171970	08/04/2005	Ozzie et al.	707/102	03/28/2005
	A61	2002/0059344	05/16/2002	Britton et al.	707/513	01/29/1999

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	A62	2004/0006739	01/08/2004	Mulligan	715/513	02/07/2003
	A63	2002/0111989	08/15/2002	Ambler et al.	709/202	04/03/2002
	A64	2005/0210414	09/22/2005	Angiulo et al.	715/838	05/20/2005
	A65	5,960,200	09/22/1999	Eager et al.	395/705	09/16/1996
	A66	2002/0116454	08/22/2002	Dyla et al.	709/203	10/02/2001
	A67	2002/0188688	12/12/2002	Bice et al.	709/206	06/12/2001
	A68	2003/0004746	01/02/2003	Kheirrolomoom et al.	705/1	04/24/2002
	A69	2003/0070006	04/10/2003	Nadler et al.	709/330	01/30/2002
	A70	2003/0074217	04/17/2003	Beisiegel et al.	705/1	10/09/2002
	A71	2003/0081002	05/01/2003	De Vorchik et al	345/762	10/30/2001
	A72	2003/0093436	05/15/2003	Brown et al.	707/103	09/28/2001
	A73	2003/0093468	05/15/2003	Gordon et al.	709/203	02/27/2002
	A74	2003/0093500	05/15/2003	Khodabakhchian et al.	709/219	10/09/2002
	A75	5,761,656	06/02/1998	Ben-Shachar	707/4	06/26/1995
	A76	6,243,737	06/05/2001	Flanagan et al.	709/2002	04/09/1999
	A77	6,256,676	07/03/2001	Taylor et al.	709/246	10/05/1999
	A78	6,289,382	09/11/2001	Bowman-Amuah	709/226	08/31/1999
	A79	2002/0042849	04/11/2002	Ho et al.	709/329	05/04/2001
	A80	2004/0030740	02/12/2004	Stelting	709/201	08/09/2002
	A81	2004/0111464	06/10/2004	Ho et al.	709/203	12/04/2002
	A82	2004/0205731	10/14/2004	Junkermann	717/136	10/18/2001
	A83	2003/0065623	04/03/2003	Corneil et al.	705/64	10/01/2001
	A84	6,687,873	02/03/2004	Ballantyne et al.	715/500	03/09/2000
	A85	2003/0159111	08/21/2003	Fry, Chris	715/513	11/26/2002
	A86	2003/0093403	05/15/2003	Upton	707/1	10/15/2002
	A87	2002/0099735	07/25/2002	Schroeder et al.	707/513	01/19/2001
	A88	7,143,190	11/28/2006	Christensen et al.	709/246	04/01/2002
	A89	7,080,092	07/18/2006	Upton	707/102	10/15/2002
	A90	6,964,053	11/08/2005	Ho et al.	719/319	12/04/2002
	A91	6,948,174	09/20/2005	Chiang et al.	719/319	05/04/2001
	A92	6,915,523	07/05/2005	Dong et al.	719/319	05/04/2001
	A93	6,912,719	06/28/2005	Elderon et al.	719/319	05/04/2001
	A94	6,904,598	06/07/2005	Abileah et al.	719/319	05/04/2001
	A95	6,901,403	05/31/2005	Bata et al.	707/101	09/14/2001

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	A96	2002/0038336	03/28/2002	Abileah et al.	709/203	05/04/2001
	A97	2002/0046294	04/18/2002	Brodsky et al.	709/246	05/04/2001
	A98	2002/0038335	03/28/2002	Dong et al.	709/203	05/04/2001
	A99	2002/0178299	11/28/2002	Teubner	709/320	01/18/2002
	A100	6,507,856	01/14/2003	Chen et al.	707/513	01/05/1999
	A101	6,519,617	02/11/2003	Wanderski et al.	707/513	04/08/1999
	A102	6,253,200	06/26/2001	Smedley et al.	707/4	12/02/1997
	A103	6,775,680	08/10/2004	Ehrman et al.	707/102	05/04/2001
	A104	2005/0050228	03/03/2005	Perham et al.	709/246	06/30/2004
	A105	2005/0091639	04/28/2005	Patel	717/114	10/27/2003
	A106	2002/0056012	05/09/2002	Abileah et al.	709/310	05/04/2001
	A107	7,069,291	06/27/2006	Graves et al.	709/201	06/14/2002
	A108	7,130,893	10/31/2006	Chiang et al.	709/219	05/19/2003
	A109	2007/0083524	04/12/2007	Fung et al.	707/010	12/19/2005
	A110	2007/0094283	04/26/2007	Fung et al.	707/101	10/07/2005

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO
	A111	WO 01/67290	09/13/2001	WIPO	G06F 17/00		
	A112	JP2001273177	10/05/2001	Japan	G06F 12/00		

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EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
	A113	Microsoft Corp, Computer Dictionary, Third Edition, Microsoft Press, 1997, page 371
	A114	"NetDynamics, PAC for IMS" User Guide, Precise Connectivity Systems, 1998
	A115	UML™ for EAI. UML™ Profile and Interchange Models for Enterprise Application Integration (EAI). OMG document no. ad/2001-09-17.
	A116	Long et al. "IMS Primer" Jan. 2000, IBM, Chapter 18

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	A117	"Correlate IMSADF Secondary Transaction MFS Generation with the Generation of the Output Format Rule", IBM Technical Disclosure Bulletin, Vol. 27, No. 1B, pp. 623-624, June 1984.
	A118	"Remote Execution of IMS Transactions for Os/2", IBM Technical Disclosure Bulletin, Vol. 34, No. 7B, pp. 16, December 1991.
	A119	"Connecting to IMS Using XML, SOAP and web Services", Shyh-Mei F. Ho, IMS Technical Conference, Koenigswinter, Germany, October 15-17, 2002.
	A120	"Web Services-The Next Step in the Evolution of the Web", Excerpt from <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp51.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp51.htm</a> . IBM Corporation, 2002.
	A121	"Leveraging IMS Applications and Data"< Excerpts from Leveraging IMS2 found at <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp52.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp52.htm</a> IBM Corporation, 2002.
	A122	"What's Next in IMS Providing Integrated e-business Solutions: IMS Version 8", Excerpt from <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp53.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp53.htm</a> IBM Corporation, 2002.
	A123	"IMS Follow-on Ideal for e-business", Excerpts from <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp54.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp54.htm</a> IBM Corporation, 2002.
	A124	"IMS Information", Excerpts from <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp55.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp55.htm</a> IBM Corporation, 2002.
	A125	"Web Services Description Language (WSDL) 1.1" March 2001, W3C
	A126	Extensible Markup Language (XML) 1.0 (Second Edition) Oct. 2000, W3C
	A127	"IMS Connect Guide and Reference version 1", <a href="http://publibfp.boulder.ibm.com/epubs/pdf/icgr0001.pdf">http://publibfp.boulder.ibm.com/epubs/pdf/icgr0001.pdf</a> , Oct. 2000, IBM
	A128	Hofstetter, The Future's Future: Implications of Emerging Technology for Special Education Program Planning, Journal of Special Education Technology, Fall 2001, vol 16, pg. 7, 7 pgs.
	A129	Diaz et al., Inter-Organizational Document Exchange – Facing the Conversion Problem with XML, ACM 2002, pages 1043-104
	A130	Arndt et al., An XML-Based Approach to Multimedia Software Engineering for Distance Learning, ACM 2002, pages 525-532.
	A131	Glushko et al., An XML Framework for Agent-Based E-Commerce, ACM March 1999, pages 106-114.
	A132	Dymetman et al., XML and Multilingual Document Authoring: Convergent Trends, ACM July 2000, pages 243-249.
	A133	PR Newswire, XMLSolutions Delivers XML-based Prototype for Envera Marketplace, ProQuest, April, 2000, pages 1-3.
	A134	Suzuki et al., Managing the Software Design Documents with XML, ACM 1999, pages 127-136.
	A135	Stieren, SST: Using Single-sourcing, SGML, and Teamwork for Documentation, ACM

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		1999, pages 45-52.
A136	Royappa, Implementing Catalog Clearinghouses with XML and XSL, ACM 1998, pages 616-623.	
A137	"XML and IMS for Transparent Application Integration", Excerpt from <a href="http://www-3.ibm.com/software/data/ims/...ntations/two/imsv7/enh/HTML/indexp50.htm">http://www-3.ibm.com/software/data/ims/...ntations/two/imsv7/enh/HTML/indexp50.htm</a> . IBM Corporation, 2002.	
A138	Absa Uses VGR to Ensure Online Availability, <a href="http://www-306.ibm.com/software/data/ims/quarterly/Winter2000/winter.htm">www-306.ibm.com/software/data/ims/quarterly/Winter2000/winter.htm</a> Approx. Oct. 2000.	
A139	"IBM Mainframe," <a href="http://www.dmreview.com/whitepaper/WID1002720.pdf">www.dmreview.com/whitepaper/WID1002720.pdf</a> 3/18/2005	
A140	"HostBridge and WebSphere: Integrating CICS with IBM's Application Server," a HostBridge White Paper, 7/23/2002, pp. 1-34. March 18, 2005.	
A141	"S1215, WWW.IMS or Websphere Working with IMS," Ken Blackman, 39 pp. (date unknown)	
A142	"Attunity Connect for Mainframe, Native OS/390 Adapters to Data and Legacy," 2003, pp. 1-3	
A143	"Learning Management Systems XML and Web Services," Finn Gronbaek, IBM Corporation, copyright 2001, 4/20/03, pp. 1-29	
A144	James Martin, "Principles of Object-Oriented Analysis and Design", October 29, 1992, Chapters 1-22.	
A145	"Quarterdeck Mosaic User Guide", 1995, Chapters 1-7.	
A146	Starkey, "XML-Based Templates for Generating Artifacts from Java-Based Models," Research Disclosure, December 1998, pps. 1678-1680.	
A147	"MFS XML Utility Version 9.3.0 User's Guide and References", 57 pages, IBM Corporation, <a href="http://ftp.software.ibm.com/software/data/ims/toolkit/mfswebsupport/mfsxml-v3.pdf">http://ftp.software.ibm.com/software/data/ims/toolkit/mfswebsupport/mfsxml-v3.pdf</a> , 2003	
A148	Blackman, "IMS eBusiness Update", IMS V8 Roadshow, 11 pages, IBM Corporation, <a href="http://www-306.ibm.com/software/data/ims/shell/presentation/oneday/IMSeBusinessUpdate2003.pdf">http://www-306.ibm.com/software/data/ims/shell/presentation/oneday/IMSeBusinessUpdate2003.pdf</a> , 2003	
A149	Cover et al., "Web Services User Interface (WSUI) Initiative", <a href="http://xml.coverpages.org/wsui.html">http://xml.coverpages.org/wsui.html</a> , October 29, 2002	
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A151	Cover, Editor, "Web Services for Interactive Applications (WSIA). [Web Services Component Model (WSCM)], <a href="http://xml.coverpages.org/wscm">http://xml.coverpages.org/wscm</a> , 1/21/2002, printed 10/31/2007, 4 pages	
A152	"IMS Connector for Java, User's Guide and Reference", IBM VisualAge for Java, Version 3.5, 9 pages, IBM, 2002	
A153	PR Newswire, Sterling Commerce Announces Availability of First Data Transformation Engine to Support Both XML and Traditional EDI Standards, ProQuest May 12, 1999, pages 1-3.	
A154	"What Web Services Are NOT", <a href="http://www.webreference.com/xml/column50">www.webreference.com/xml/column50</a> , 2003	

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	A155	"Web Services", <a href="http://www.webopedia.com/TERM/W/Web_services.html">www.webopedia.com/TERM/W/Web_services.html</a> , 2003
	A156	"Creating WSDL and a Proxy Client From A Web Service, <a href="http://www.westwind.com/webconnection/docs/08413NI2E.htm">www.westwind.com/webconnection/docs/08413NI2E.htm</a> , 2002
	A157	"Requirements for Building Industrial Strength Web Services: The Service Broker", <a href="http://www.theserverside.com/tt/articles/article.tss?l=Service-Broker">http://www.theserverside.com/tt/articles/article.tss?l=Service-Broker</a> , July 2001
	A158	Application Development/Enablement, <a href="http://www.306.ibm.com/software/data/ims/presentation/five/trends2003/HTML/indexp15.htm">http://www.306.ibm.com/software/data/ims/presentation/five/trends2003/HTML/indexp15.htm</a> November 11, 2003

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